Comparative accuracy of methods for protein sequence similarity search

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Abstract

Motivation: Searching a protein sequence database for homologs is a powerful tool for discovering the structure and function of a sequence. Two new methods for searching sequence databases have recently been described: Probabilistic Smith—Waterman (PSW), which is based on Hidden Markov models for a single sequence using a standard scoring matrix, and a new version of BLAST (WU-BLAST2), which uses Sum statistics for gapped alignments.

Results: This paper compares and contrasts the effectiveness of these methods with three older methods (Smith-Waterman: SSEARCH, FASTA and BLASTP). The analysis indicates that the new methods are useful, and often offer improved accuracy. These tools are compared using a curated (by Bill Pearson) version of the annotated portion of PIR 39. Three different statistical criteria are utilized: equivalence number, minimum errors and the receiver operating characteristic. For complete-length protein query sequences from large families, PSW's accuracy is superior to that of the other methods, but its accuracy is poor when used with partial-length query sequences. False negatives are twice as common as false positives irrespective of the search methods if a family-specific threshold score that minimizes the total number of errors (i.e. the most favorable threshold score possible) is used. Thus, sensitivity, not selectivity, is the major problem. Among the analyzed methods using default parameters, the best accuracy was obtained from SSEARCH and PSW for complete-length proteins, and the two BLAST programs, plus SSEARCH, for partial-length proteins.

Availability: The data and search tools are available from their original authors.

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Introduction

Searching a molecular sequence database for homologous sequences is a powerful and widely used tool for determining the possible structure and function of a new sequence. There are a variety of algorithms and tools available to conduct these searches. The traditional algorithms are guaranteed to find the 'optimal' alignment and are based mostly on dynamic programming (Needleman and Wunsch, 1970; Smith and Waterman, 1981). The optimality is guaranteed under a specific scoring criterion that includes the scoring matrix and gap penalties. This optimal alignment is quite often not the true biological alignment. Several researchers have noted the importance of considering suboptimal alignments in assessing the significance and value of the optimal alignment (Argos et al., 1991; Saqi and Sternberg, 1991; Zuker, 1991; Agarwal and States, 1996). FASTA (Pearson and Lipman, 1988) and BLAST (Altschul et al., 1990; Altschul and Gish, 1996) are heuristic search tools that find the 'optimal' alignment with high probability and are computationally less expensive. Hidden Markov model (HMM)-based search methods (Krogh et al., 1994; Eddy et al., 1995; Eddy, 1996) improve both the sensitivity and selectivity of sequence database searches. They use position-dependent scores to characterize and build a model for an entire family of sequences. HMMs work best with large sequence families.

Bucher and Hoffman (1996) have proposed an algorithm combining aspects of HMMs and dynamic programming to build models for single sequences using position-independent scoring and affine gap penalties. The scores are drawn from a traditional scoring matrix, such as BLOSUM62. Instead of computing in the log-odd score space, they compute the probabilities for the alignment. In the innermost loop of the dynamic programming, rather than choose a step corresponding to the most likely alignment, they add the probabilities from all the possible local alignments. This is similar to both Bishop and Thompson's (1986) method and the forward algorithm for HMMs. Thus, the number at each cell in the matrix is proportional to the sum of the probabilities of all the alignments ending at that point.

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The sum of these numbers in all the cells of this matrix is an estimate of the relatedness of the two sequences. This number is divided by the null estimate, which is the number calculated in a similar fashion for two equal-length random sequences. This technique relies less on the score for an 'optimal' alignment, and includes the probabilities from the suboptimal alignments in evaluating the relatedness of two sequences.

A new developmental version of BLAST (WU-BLAST2 available from http://blast.wustl.edu/) has been released that incorporates Sum statistics for gapped alignments (Altschul and Gish, 1996).

Pearson (1995) has made available a curated database and query sequences that may be used as a 'standard' for sequence comparison tools. This is the annotated portion of the National Biomedical Research Foundation protein sequence database (PIR1, Release 39, December 31, 1993) (Barker et al., 1990) augmented with 237 additional sequences for a total of 12 219 sequences. Viral polyproteins (because of their mosaic nature) and 23 other families (for which all the members were so closely related that none of the programs have difficulty discriminating) were not utilized as query sequences by Pearson. Sequences from 67 of the remaining largest families were used as queries. Two query sequences were selected from each family. In addition to these complete query sequences, two partial sequences from each family augmented on either side with random sequence were also used. Details are available from Pearson (1995).

System and methods

Metrics for comparing methods

Pearson (1995) has introduced the equivalence number as a criterion for measuring the search accuracy of a tool. The equivalence score is the threshold score at which the number of false positives equals the number of false negatives. The equivalence number (EN) is the number of false positives (equal to the number of false negatives) using the equivalence score as the classification threshold. Thus, the equivalence number balances the sensitivity (false negatives) and selectivity (false positives) of an algorithm.

The sequence similarity search problem is a special case of the sequence classification problem with the object being to classify sequences from the database as homologous or non-homologous to the query sequence. An alternative to the equivalence number is the error rate (i.e. the sum of the number of false positives and false negatives), which is often used in Bayesian classification (Duda and Hart, 1973). The minimum number of errors (MER) is upper bounded by twice the equivalence number, thus MER≤2×EN. For minimum errors, the threshold score must lie between the score for a true positive and the score for a true negative, and that true negative must follow that true positive in the rank order

of the tool. For example, if there are 100 homologs and 1000 non-homologs, and the search algorithm ranks them in the order 80 homologs, 1 non-homolog, 20 homologs and 999 non-homologs, then the minimum number of errors is 1, with the threshold score between the last homolog and the first of the 999 non-homologs. The equivalence number is also 1, but the threshold score is set before the last homolog. The MER thus provides more biologically plausible thresholds. The MER also makes it possible to compare the sensitivity and selectivity of two algorithms/tools. At the MER threshold, the number of both the false positives and false negatives may be measured separately, indicating which of them has the larger contribution to the error.

Gribskov and Robinson (1996) have proposed the receiver operating characteristic (ROC) as a quantitative measure of the usefulness of a sequence classification. This measure, like the previous two, incorporates sensitivity and selectivity, but it depends significantly on the exact order of the positive hits and negative hits. ROC is a real number between 0 and 1, which is evaluated as the area under the parametric curve of the fraction positive (i.e. the number of homologs observed divided by the true number of homologs) plotted as a function of the fraction negative (i.e. the number of non-homologs observed divided by the total number of non-homologs). The data points in this plot correspond to the rank order from the tool; e.g. the plot may be parameterized on the ordering of sequences according the BLAST p-value. A perfect tool will list all the positives before the negatives; thus, the ROC plot will be a vertical line at x = 0 followed by a horizontal line at y = 1. The corresponding ROC value is 1. A poor tool will mix positives and negatives, resulting in an ROC value close to 0. Gribskov and Robinson propose using ROC₅₀ which scans down the list until 50 negatives are observed. This is useful because the number of non-homologs is 100-10 000 times more than the number of homologs, and the ROC value is dominated by the non-homologs and is always very close to 1. The ROC must then be computed to a large number of significant digits to compare the methods. For the remainder of this paper, we use ROC to imply ROC_{50} .

Search tools

We evaluated five tools according to the above three characteristics.

(i) SSEARCH Release 3.0t74 (Pearson and Lipman, 1988). This is an implementation of the local alignment dynamic programming technique proposed by Smith and Waterman (1981). It includes code optimizations from Phil Green. The default scoring matrix is BLO-SUM 50, and gap penalties are –12 for initiation and –2 for continuation. The sequences were ranked according to the 'z-score'.

- (ii) FASTA Release 3.0t74 (Pearson and Lipman, 1988). The default scoring matrix is BLOSUM50, and the gap penalties are (-12, -2). The sequences were ranked according to the 'z-score'.
- (iii) Probabilistic Smith–Waterman (PSW) (Bucher and Hoffman, 1996). The default scoring matrix is BLO-SUM 45, and gap penalties are (-8, -4).
- (iv) BLASTP Release 1.4.9MP (Altschul et al., 1990). The default scoring matrix is BLOSUM 62, and gaps are not permitted [i.e. gap penalty (-∞, -∞)]. The sequences were ranked according to the probability estimate from the Sum statistics.
- (v) WU-BLASTP2 Release 2.0a1MP (Altschul *et al.*, 1990; Altschul and Gish, 1996). The default scoring matrix is BLOSUM 62, and gap penalties are (–9, –2). The sequences were ranked according to the probability estimate from the Sum statistics of gapped alignments.

The tools were compared with default scoring matrices and gap penalty options. This assumes that each tool has been configured with the scoring matrix and gap penalties that provide it with maximum accuracy. The performance of various tools as functions of the scoring parameters have been compared earlier (Altschul, 1993; Altschul *et al.*, 1994; Pearson, 1995; Gribskov and Robinson, 1996). It could be argued that the observed differences between the tools are primarily the function of their choices of scoring systems. To neutralize the effect of the scoring system, the tools were also compared with the same scoring system [BLOSUM 62 and gap penalty (–9, –2)] for a single set of query sequences (e0-b62).

Results and discussion

Table 2 (spanning two pages) contains the names for the families, the number of family members in the examined database, the length of the query sequence in set e0, and the corresponding minimum number of errors for the various methods. For a number of families, all the methods had perfect discrimination (zero error rate), but, in general, there is considerable variation in the error rates, depending on the family. Each tool outperforms every other tool for at least some protein families. It is quite likely that some of the tools are actually better than other tools. The observed variation is probably because of sampling errors due to the small sizes for some of the protein families. PSW performs better than any other method in four of the seven families that have >100 members. This suggests that PSW actually performs better than any other method when complete protein query sequences are provided; the smaller families for which it is observed not to perform as well may be chance observations, especially considering the small sample. PSW is incorporating information from a large number of suboptimal alignments in evaluating the similarity relationship. Related protein sequences often have conserved regions (corresponding to regions with secondary and tertiary structure) interspersed with non-conserved regions (corresponding to turns). There are numerous equally valid ways of aligning the non-conserved regions, leading to an exponentially large number of high-scoring suboptimal alignments.

Table 1. A summary of the statistics for the various search methods and sequence query sets

| Method | | PSW | | SW | | FASTA | | BLAS | ГР | BLASTP2 | |
|-----------|------|-----|----|----|----|-------|----|------|----|---------|----|
| Query set | Stat | + | += | + | += | + | += | + | += | + | += |
| e0 | MER | 10 | 41 | 4 | 47 | 1 | 34 | 2 | 32 | 3 | 42 |
| e0 | ROC | 10 | 34 | 4 | 35 | 7 | 25 | 4 | 26 | 7 | 32 |
| e0 | EN | 11 | 39 | 1 | 46 | 2 | 34 | 2 | 29 | 3 | 43 |
| e1 | MER | 8 | 41 | 3 | 43 | 1 | 35 | 6 | 35 | 2 | 42 |
| e1 | ROC | 13 | 31 | 4 | 31 | 6 | 27 | 6 | 26 | 5 | 31 |
| e1 | EN | 6 | 44 | 2 | 48 | 2 | 38 | 4 | 36 | 2 | 45 |
| e0-b62 | MER | 12 | 33 | 2 | 43 | 2 | 32 | 2 | 32 | 3 | 42 |
| e0-b62 | ROC | 10 | 29 | 7 | 33 | 5 | 26 | 4 | 26 | 5 | 33 |
| e0-b62 | EN | 11 | 33 | 1 | 44 | 3 | 32 | 2 | 30 | 3 | 44 |
| 10 | MER | 3 | 23 | 2 | 37 | 3 | 36 | 11 | 34 | 3 | 35 |
| 10 | ROC | 8 | 11 | 8 | 21 | 6 | 18 | 12 | 17 | 12 | 18 |
| 10 | EN | 3 | 25 | 6 | 33 | 3 | 34 | 8 | 32 | 3 | 39 |
| 11 | MER | 5 | 24 | 4 | 32 | 5 | 31 | 13 | 32 | 4 | 35 |
| 11 | RO | 10 | 15 | 8 | 21 | 7 | 21 | 13 | 21 | 6 | 23 |
| 11 | EN | 8 | 23 | 2 | 37 | 5 | 34 | 8 | 33 | 2 | 40 |

The first row in this table is a summary of the data from Table 2. The number in the '+' column is the number of protein families (maximum 67) for which the method had better discrimination (i.e. ability to rank family members ahead of non-family members) than any of the other methods. The '+=' column indicates the number of protein families (maximum 67) for which the method had better or equal discrimination in pairwise comparison with all other methods. The best numbers for each statistic in a query set are in bold. e0 and e1 are the complete length query sequences, one from each family; e0-b62 is the same set of query sequences as e0, but all the methods were evaluated under uniform scoring conditions, namely BLOSUM 62 matrix and gap penalties (-9,-2); l0 and l1 are the partial-length query sequence sets. Explanations of the statistics are provided in System and methods: Metrics for comparing methods.

Table 2. The minimum number of errors (MER) for the various methods and families using a single set of query sequences (e0) and the default scoring parameters. The Best Method column is left blank if all the methods performed equally well

| Description/superfamily | Length | Size | PS | SW | FA | BP | B2 | Best method |
|---------------------------------------------------------------------------------|--------|------|----|----|----|----|----|-------------|
| Hemoglobin α/β | 141 | 505 | 14 | 20 | 34 | 36 | 31 | PS |
| Ig kappa chain V-I region | 108 | 280 | 11 | 20 | 49 | 67 | 22 | PS |
| G-prot. coupled receptors | 348 | 165 | 29 | 26 | 47 | 34 | 27 | SW |
| Cytochrome C | 105 | 142 | 18 | 24 | 25 | 29 | 24 | PS |
| Snake neurotoxin | 74 | 109 | 0 | 0 | 0 | 5 | 0 | PS/SW/FA/B2 |
| Calcium binding EF-hand | 159 | 106- | 15 | 11 | 12 | 12 | 11 | SW/B2 |
| Glutathione transferase | 222 | 106 | 3 | 4 | 6 | 9 | 5 | PS |
| Protein kinase, cAMP-dependent | 351 | 97 | 74 | 70 | 72 | 77 | 75 | SW |
| Ferredoxin | 54 | 93 | 58 | 57 | 68 | 60 | 58 | SW |
| Ribulose-bisphosphate carboxylase | 139 | 77 | 2 | 2 | 2 | 2 | 2 | |
| Ig kappa chain C region | 106 | 74 | 18 | 18 | 24 | 26 | 18 | PS/SW/B2 |
| Hemagglutinin | 567 | 73 | 0 | 0 | 0 | 1 | 0 | PS/SW/FA/B2 |
| Histocompatibility antigen | 338 | 71 | 0 | 0 | 2 | 2 | 3 | PS/SW |
| Insulin | 110 | 69 | 3 | 3 | 3 | 3 | 3 | |
| α-Crystallin chain A | 173 | 67 | 2 | 4 | 4 | 8 | 3 | PS |
| Phospholipase A2 | 148 | 58 | 1 | 0 | 1 | 2 | 0 | SW/B2 |
| Glyceraldehyde-3-P DH | 335 | 46 | 0 | 0 | 1 | 0 | 0 | PS/SW/BP/B2 |
| Transforming prot. (N-ras) | 189 | 45 | 1 | 1 | 1 | 1 | 1 | |
| Serine protease | 246 | 45 | 20 | 22 | 16 | 22 | 19 | FA |
| Glucagon precursor | 180 | 44 | 14 | 10 | 11 | 7 | 6 | B2 |
| $\ensuremath{H^{+}}\xspace$ -transporting ATP synthase α chain precursor | 553 | 43 | 1 | 1 | 1 | 3 | 2 | PS/SW/FA |
| Hemagglutinin-neuraminidase | 576 | 42 | 0 | 0 | 0 | 0 | 0 | |
| Ribonuclease | 124 | 40 | 0 | 0 | 0 | 0 | 0 | |
| Interferon α-I-6 | 189 | 39 | 0 | 0 | 0 | 0 | 0 | |
| Glutamate-ammonia ligase | 373 | 39 | 0 | 0 | 0 | 1 | 0 | PS/SW/FA/B2 |
| Azurin | 129 | 38 | 19 | 17 | 23 | 27 | 22 | SW |
| Fusion protein—Sendai virus | 565 | 36 | 0 | 0 | 0 | 0 | 0 | |
| Cytochrome P450 | 497 | 35 | 0 | 0 | 3 | 2 | 2 | PS/SW |
| Outer capsid protein VP8 | 280 | 34 | 0 | 0 | 0 | 0 | 0 | |
| gag polyprotein | 512 | 33 | 3 | 3 | 5 | 3 | 3 | PS/SW/BP/B2 |
| Keratin | 471 | 32 | 5 | 5 | 8 | 4 | 5 | BP |
| Nucleoprotein-influenza A | 498 | 31 | 0 | 0 | | | | |
| Acidic ribosomal protein P2 | 115 | 29 | 3 | 6 | 8 | 8 | 7 | PS |
| E6 protein papillomavirus | 158 | 29 | 0 | 0 | 1 | 0 | 0 | PS/SW/BP/B2 |
| Lysozyme | 130 | 28 | 0 | 0 | 0 | 0 | 0 | |
| N-Cadherin | 906 | 27 | 0 | 0 | 0 | 0 | 0 | |
| Exo-α-sialidase | 454 | 27 | 0 | 0 | 0 | 0 | 0 | |
| L2 protein papillomavirus | 507 | 27 | 0 | 0 | 0 | 0 | 0 | |
| Scorpion neurotoxin | 64 | 26 | 5 | 5 | 5 | 6 | 5 | PS/SW/FA/B2 |
| E7 protein papillomavirus | 98 | 26 | 0 | 0 | 0 | 1 | 1 | PS/SW/FA |
| H+-transporting ATP synthase lipid-binding | 75 | 26 | 1 | 1 | 1 | 1 | 0 | B2 |

Table 2. Continued.

| Description/superfamily | Length | Size | PS | SW | FA | BP | B2 | Best method |
|----------------------------------------------|--------|------|-----|-----|-----|-----|-----|-------------|
| L-Lactate dehydrogenase | 333 | 26 | 0 | 0 | 2 | 3 | 0 | PS/SW/B2 |
| E2 protein papillomavirus | 322 | 26 | 0 | 0 | 0 | 0 | 0 | |
| Core antigen—hepatitis B | 183 | 25 | 0 | 0 | 0 | 0 | 0 | |
| Antithrombin-III | 464 | 25 | 0 | 0 | 1 | 0 | 0 | PS/SW/BP/B2 |
| Thymidine kinase | 376 | 25 | 1 | 1 | 1 | 0 | 1 | BP |
| Phycocyanin | 162 | 25 | 0 | 0 | 0 | 0 | 0 | |
| Protamine Y2 | 34 | 24 | 2 | 1 | 1 | 3 | 1 | SW/FA/B2 |
| Transforming prot. (myc) | 439 | 24 | 0 | 0 | 0 | 0 | 0 | |
| Matrix protein | 348 | 24 | 0 | 0 | 6 | 0 | 0 | PS/SW/BP/B2 |
| H ⁺ -transporting ATP synthase P6 | 226 | 23 | 1 | 1 | 8 | 4 | 1 | PS/SW/B2 |
| Alcohol dehydrogenase A | 375 | 23 | 0 | 0 | 0 | 0 | 0 | |
| Glycoprotein B | 857 | 23 | 0 | 0 | 0 | 0 | 0 | |
| Ionotropic acetylcholine receptor | 457 | 23 | 0 | 0 | 0 | 0 | 0 | |
| Non-structural protein NS2 | 121 | 22 | 0 | 1 | 1 | 2 | 2 | PS |
| Annexin I | 346 | 22 | 4 | 4 | 4 | 4 | 4 | |
| Histone H1b | 218 | 22 | 3 | 2 | 3 | 2 | 2 | SW/BP/B2 |
| Metallothionein | 61 | 21 | 6 | 6 | 6 | 6 | 3 | B2 |
| 3-Crystallin chain Bp | 204 | 21 | 0 | 0 | 0 | 0 | 0 | |
| Proteinase inhibitor | 71 | 21 | 2 | 3 | 3 | 3 | 3 | PS |
| Hepatic lectin H1 | 291 | 20 | 2 | 1 | 1 | 7 | 1 | SW/FA/B2 |
| E2 glycoprotein precursor | 1447 | 20 | 0 | 0 | 0 | 0 | 0 | |
| α-2u-Globulin precursor | 181 | 20 | 6 | 9 | 10 | 10 | 9 | PS |
| Pepsin | 388 | 20 | 0 | 0 | 0 | 0 | 0 | |
| DNA-directed DNA polymerase | 1462 | 20 | 5 | 1 | 1 | 1 | 2 | SW/FA/BP |
| Prolactin | 227 | 20 | 0 | 0 | 0 | 0 | 0 | |
| Vitamin B12 trans. btuD | 249 | 20 | 3 | 7 | 9 | 6 | 10 | PS |
| Total | | 3544 | 355 | 367 | 490 | 510 | 394 | |
| False positives | | | 109 | 126 | 110 | 159 | 102 | |
| False negatives | | | 246 | 241 | 380 | 351 | 292 | |

'Length' is the length of the query sequence for that family in e0 and 'Size' is the size of the family in the Pearson database. PS, Probabilistic Smith–Waterman; SW, Smith–Waterman; FA, FASTA; BP, BLASTP 1.4; B2, WU-BLASTP2. The false-positives and false-negatives in the last two rows are the break-up of the total errors across all the families. Sequence descriptions are from Pearson (1995).

The information from Table 2 is summarized in the first row of Table 1. The method with the minimum MER for 'hemoglobin α/β ' (see the first row in Table 2) is PS. Thus, PS has the superior statistic (lower MER) for this family. The number of solo occurrences of PS in the Best method column in Table 2 add up to 10, which is the number in the top left (e0—MER,+) cell of Table 1. The number 41 in the adjacent column (+ =) is a count of the number of families for which the method had as low an MER as any other method. This is equal to the number of solo and/or joint occurrences of the tool in the Best method column in Table 2. Table 1, in addition to this summary line for e0 and MER, contains a summary of the

results for the five data sets and using the three statistical cri-

Smith—Waterman, not surprisingly, is at least as accurate as any other method for the most number of families over the five query sets (examine the '+ =' columns in Table 1). The new version of BLAST, WU-BLAST2 from Warren Gish, which includes Sum statistics of gapped alignments, is also accurate in this measure. Neither BLASTP 1.4 nor FASTA performed as accurately. Some of this may be related to the dependencies between the methods, and consequent failure to outperform a very similar method. Table 3 includes the pairwise comparisons for the various methods to help assess this possibility.

Table 3. Pairwise comparisons of the five methods. The number in the cell in row i, column j is the number of families (from 67) in which method i did better than method j according to the statistic. For example, in the upper left-most subtable, PS had lower error rates compared to FA in 24 families, while FA had lower error rates in seven families

| | Minii e0— | mum no. MER | of error | S | | | False e0—l | positives FP | 3 | | False negatives e0—FN | | | | | | | | |
|----|--------------|----------------|----------|----|----|----|---------------|-----------------|-----------|----|--------------------------|----|----|----|-------|----|----|--|--|
| | PS | SW | FA | BP | B2 | 1 | PS | SW | FA | BP | B2 | | PS | SW | FA | BP | B2 | | |
| PS | _ | 11 | 24 | 29 | 16 | PS | _ | 10 | 11 | 15 | 7 | PS | _ | 8 | 23 | 25 | 15 | | |
| SW | 11 | - | 26 | 27 | 15 | SW | 5 | _ | 7 | 13 | 5 | SW | 11 | - | 23 | 26 | 17 | | |
| FA | 7 | 1 | - | 20 | 8 | FA | 7 | 7 | - | 15 | 3 | FA | 9 | 4 | - | 9 | 9 | | |
| BP | 6 | 4 | 14 | _ | 5 | BP | 7 | 9 | 8 | _ | 4 | BP | 10 | 6 | 17 | _ | 9 | | |
| B2 | 11 | 5 | 26 | 27 | _ | B2 | 7 | 11 | 9 | 17 | _ | B2 | 11 | 5 | 22 | 21 | _ | | |
| | e1— | e1—MER | | | | | | e1—FP | | | | | | | e1—FN | | | | |
| | PS | SW | FA | BP | B2 | | PS | SW | FA | BP | B2 | | PS | SW | FA | BP | B2 | | |
| PS | - | 11 | 20 | 23 | 12 | PS | _ | 7 | 5 | 10 | 9 | PS | _ | 12 | 22 | 21 | 12 | | |
| SW | 11 | - | 23 | 22 | 13 | SW | 8 | _ | 5 | 9 | 9 | SW | 13 | - | 24 | 19 | 12 | | |
| FA | 11 | 4 | - | 18 | 6 | FA | 8 | 6 | - | 11 | 10 | FA | 8 | 4 | - | 16 | 4 | | |
| BP | 12 | 11 | 17 | _ | 8 | BP | 7 | 4 | 5 | _ | 10 | BP | 12 | 12 | 20 | _ | 11 | | |
| B2 | 12 | 8 | 20 | 19 | _ | B2 | 7 | 6 | 5 | 10 | _ | B2 | 13 | 10 | 20 | 18 | _ | | |
| | e0-b6 | 52—MEF | ₹ | | | | e0-b6 | | e0-b62—FN | | | | | | | | | | |
| | PS | SW | FA | BP | B2 | | PS | SW | FA | BP | B2 | | PS | SW | FA | BP | B2 | | |
| PS | - | 16 | 21 | 23 | 16 | PS | _ | 13 | 10 | 15 | 8 | PS | _ | 13 | 22 | 20 | 13 | | |
| SW | 20 | _ | 27 | 27 | 9 | SW | 4 | _ | 7 | 15 | 5 | SW | 21 | _ | 27 | 22 | 13 | | |
| FA | 11 | 6 | _ | 21 | 7 | FA | 6 | 9 | _ | 15 | 5 | FA | 13 | 3 | - | 17 | 5 | | |
| BP | 13 | 6 | 17 | _ | 5 | BP | 5 | 8 | - | 4 | BP | 18 | 9 | 20 | - | 9 | | | |
| B2 | 19 | 10 | 27 | 27 | _ | B2 | 6 | 9 | 8 | 17 | - | B2 | 21 | 6 | 26 | 21 | - | | |
| | 10—N | 10—MER | | | | | | 10—FP | | | | | | | 10—FN | | | | |
| | PS | SW | FA | BP | B2 | | PS | SW | FA | BP | B2 | | PS | SW | FA | BP | B2 | | |
| PS | - | 14 | 11 | 11 | 10 | PS | | 11 | 12 | 11 | 14 | PS | - | 9 | 12 | 9 | 8 | | |
| SW | 35 | - | 13 | 17 | 17 | SW | 13 | - | 9 | 11 | 12 | SW | 34 | - | 15 | 15 | 12 | | |
| FA | 35 | 12 | - | 15 | 13 | FA | 14 | 9 | - | 8 | 12 | FA | 32 | 11 | - | 16 | 12 | | |
| BP | 31 | 21 | 22 | - | 20 | BP | 14 | 10 | 11 | - | 12 | BP | 28 | 20 | 19 | - | 17 | | |
| B2 | 35 | 13 | 18 | 16 | - | B2 | 14 | 10 | 8 | 7 | - | B2 | 33 | 14 | 19 | 16 | - | | |
| | 11—N | MER | | | | | 11—F | | 11—FN | | | | | | | | | | |
| | PS | SW | FA | BP | B2 | | PS | SW | FA | BP | B2 | | PS | SW | FA | BP | B2 | | |
| PS | - | 12 | 13 | 12 | 8 | PS | | 15 | 12 | 13 | 14 | PS | - | 8 | 13 | 10 | 8 | | |
| SW | 27 | - | 18 | 16 | 11 | SW | 5 | - | 5 | 10 | 10 | SW | 28 | - | 19 | 17 | 9 | | |
| FA | 27 | 12 | - | 18 | 10 | FA | 10 | 15 | - | 10 | 12 | FA | 25 | 5 | - | 16 | 7 | | |
| BP | 29 | 18 | 18 | - | 16 | BP | 8 | 11 | 7 | | 12 | BP | 30 | 14 | 18 | | 15 | | |
| B2 | 27 | 14 | 20 | 17 | _ | B2 | 10 | 12 | 8 | 8 | _ | B2 | 27 | 12 | 20 | 18 | | | |

For complete-length query sequences, according to all three statistics (MER, ROC and EN), PSW (Bucher and Hoffman, 1996) has higher accuracy for more families than any other method (examine the '+' columns in Table 1). When a partial-length protein sequence packed between random sequences was used as a query (10 and 11), PSW lost its advan-

tage, and both WU-BLAST2 and BLASTP 1.4 became competitive in the '+=' criteria. SSEARCH continued to perform well, but not as well as it did for complete-length query sequences. BLASTP 1.4 did better in the + criterion than the other programs. This may indicate that the results from the other programs are more related to each other, perhaps be-

cause they all use gapped alignments. BLASTP 1.4 may be the most orthogonal to the other programs for partial-length protein queries.

It is important to utilize more than one tool when confronted with either ambiguous similarity results or a complete lack of significant similarities. PSW may have an advantage for complete-length proteins, but it is expensive computationally; WU-BLAST2 offers comparable performance at a lower cost. The three statistical measures mostly agree on the method that performs better for each class of query sequences (such as e0, e1, etc.). Thus, the choice of the statistical measure appears to have little influence on this part of the analysis.

In Table 2, the error rates are split up into false positives and false negatives. The total number of false positives (i.e. the number of non-homologous sequences classified as homologous) over all the families for query set e0 is very similar across all the tools, except for BLASTP 1.4 which has a high false-positive rate. The major variation observed is in the false-negative rates, which are the best for SW and PSW for full-length queries. Moreover, between two-thirds and three-quarters of the errors are due to false negatives, irrespective of the search tool. Ostensibly, these are family members (distant to the query sequence) that none of the search tools can classify as similar. It would be useful to quantify the errors that are common to all the search tools.

The results from the pairwise tests of the various methods in Table 3 indicate that PSW and SSEARCH perform similarly on query sets e0 and e1, but SSEARCH does a little better on the e0-b62 query set. Thus, if family sizes are ignored, there is no clear distinction between the performances of PSW and SSEARCH. With partial-length queries, PSW is comparable to the other methods only if false positives are considered; if either false negatives or total errors are considered, PSW's performance is the worst. SSEARCH and WU-BLAST2, on the other hand, perform consistently well in all categories (the numbers in the SW and B2 columns are low, and they are high in the SW and B2 rows). The numbers in the five subtables in the middle column are all remarkably similar, indicating that there is little or no discrimination for false positives among the various methods.

Both FASTA and SSEARCH performed much better with *z*-scores (the default option in Version 3) then with *opt* scores (analysis not shown). Thus, higher accuracy is achieved by using a good implementation (with *z*-scores) of Smith–Waterman, such as SSEARCH, rather than a simplistic in-house implementation. The default word size (*ktup* setting of two) for FASTA was used for this entire analysis. *ktup* = 1 does provide higher accuracy.

It would be useful to examine closely both the false positives and false negatives for each of the tools, and evaluate the decrease in the error rate caused by using combinations of these tools. In other words, are all the tools missing the

same sequences, or how much can be gained by using multiple tools? Statistical tests to evaluate which tool is best given the error rates and/or ROC values would be valuable. Pearson (1995) has used the sign test to estimate the significance for equivalence numbers, but the sign test ignores the magnitude of the difference between families, the size of the families, and the data from all the families for which the tool performed equally well. The results in Table 1 are consistently different in the '+' and '+=' categories. Even though the sign test is used for pairwise comparisons, while the data in this table are for all-against-all comparisons, the sign test would still overlook the effects of the columns. The results in Tables 1 and 3 also, unfortunately, overlook the sizes of the families.

In summary, assuming that these tools are used with their default options, for complete-length proteins, we would recommend using SSEARCH and PSW. We would substitute PSW with WU-BLAST2 if computational time was a consideration. For partial-length proteins, we would recommend the two BLAST programs and possibly SSEARCH.

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Note added in proof

The new version of BLAST [Altschul *et al.* (1997) Gapped BLAST and PSI-BLAST: a new generation of protein database search programs. *Nucleic Acids Res.*, **25**, 3389–3402] was not included in this analysis, as it was released after this paper had been accepted.

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